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# ISOVARIANT BORSUK-ULAM TYPE RESULTS AND THEIR CONVERSE (New Evolution of Transformation Group Theory)

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# ISOVARIANT BORSUK-ULAM TYPE RESULTS AND THEIR CONVERSE

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## 0. THE BORSUK-ULAM THEOREM

In this note, we first make a brief survey of Borsuk-Ulam type theorems, and next introduce some results on the isovariant Borsuk-Ulam theorem and its converse from [22, 23].

K. Borsuk (1905–82) showed the following three results in 1933.

**Theorem 0.1** ([21]).

- (B1) *If  $f : S^n \rightarrow S^n$  is antipodal, i.e.,  $f(-x) = -f(x)$  for all  $x \in S^n$ , then  $f$  is essential, i.e.,  $f$  is not null-homotopic.*
- (B2) *For any continuous map  $f : S^n \rightarrow \mathbb{R}^n$ , there exists  $x_0 \in S^n$  such that  $f(x_0) = f(-x_0)$ .*
- (B3) *Suppose  $S^n = \bigcup_{i=0}^n F_i$ ,  $F_i$ : nonempty closed sets. Then some  $F_i$  contains an antipodal pair;  $\{x_0, -x_0\} \subset F_i$ . (Lusternik-Schnirelmann 1930)*

The second result was conjectured by S. Ulam; so it is usually called the Borsuk-Ulam theorem. It is known that the Borsuk-Ulam theorem has various equivalent statements; indeed, the above statements (B1)–(B3) are equivalent, and in addition, the following statements are also equivalent to the Borsuk-Ulam theorem.

- (B4) *If  $f : S^n \rightarrow \mathbb{R}^n$  is antipodal, then  $f^{-1}(0) \neq \emptyset$ .*
- (B5) *If  $f : S^n \rightarrow S^m$  is antipodal, then  $n \leq m$ .*

**0.1. Generalization.** Each of (B1) – (B5) has various generalizations and related topics. Indeed (B1) says that the degree of  $f$  is nonzero; in fact, it is well known that  $\deg f$  is odd. Thus (B1) is related to the degree of (equivariant) maps or degree theory. Recently Hara [11] and Inoue [13] obtained a natural extension of (B1) for equivariant maps between Stiefel manifolds with standard  $O(n)$ - or  $\mathbb{Z}_p^k$ -action.

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Statements (B2) and (B4) are related to coincidence theory or fixed point theory, and there are various researches in this field; see, for example, Gonçalves-Jaworowski-Pergher [8], Gonçalves et al. [9], Gonçalves-Wong [10].

Statement (B3) is related to the Lusternik-Schnirelmann category or Lusternik-Schnirelmann theory, which provides lower estimate for the number of critical points of a smooth function. For example, (B3) implies  $\text{cat } \mathbb{R}P^n \geq n$  and so we obtain  $\text{cat } \mathbb{R}P^n = n$ , where  $\text{cat } X$  denotes the Lusternik-Schnirelmann category of  $X$ , i.e.,  $\text{cat } X := \min\{n | X = \bigcup_{i=0}^n F_i, \text{ each } F_i \text{ is closed and contractible in } X\}$ .

**0.2. Equivariant generalization.** From the viewpoint of transformation groups, (B5) can be rephrased as follows: If there is a  $\mathbb{Z}_2$ -map  $f : S^n \rightarrow S^m$ , then  $n \leq m$  holds, where  $\mathbb{Z}_2$  acts antipodally on the spheres. This formulation has a lot of equivariant generalizations; see, for example, Jaworowski [14], Dold [6], Fadell-Husseini [7], Marzantowicz [18], Bartsch [1], Komiya [16], Hara-Minami [12], etc. We recall some well-known equivariant generalizations. A direct generalization of (B5) is the following.

**Theorem 0.2.** *Suppose that  $G \neq 1$  acts freely on  $S^n, S^m$ . If there is a  $G$ -map  $f : S^n \rightarrow S^m$ , then  $n \leq m$  holds. (Dold [6], Kobayashi [15], Laitinen [17] etc.)*

The proof of Theorem 0.2 is reduced to the case  $G = \mathbb{Z}_p$ . An important fact is that the degree of a self  $G$ -map  $f : S^n \rightarrow S^n$  is nonzero; in fact  $\deg f \equiv 1 \pmod p$ .

Remark. This result still holds for free finite  $G$ -CW complexes homotopy equivalent to spheres.

In nonfree case, the following is known.

**Theorem 0.3.** *If there is a  $\mathbb{Z}_p^k$ -map (or  $T^k$ -map)  $f : S^n \rightarrow S^m$ , where  $\mathbb{Z}_p^k$  or  $T^k$  acts fixed-point-freely on spheres, then  $n \leq m$  holds. (Fadell-Husseini [7], Marzantowicz [18], etc.) Moreover this result still holds for  $\mathbb{Z}_p$  (or  $\mathbb{Q}$ )-homology spheres. (Clapp-Puppe [4].)*

A euclidean space  $V$  with linear  $G$ -action is called a  $G$ -representation. We may suppose that the action is orthogonal. Let  $SV$  denote the unit sphere of a  $G$ -representation  $V$ . In this case, we say that  $G$  acts linearly on  $SV$  or that  $SV$  is a linear  $G$ -sphere.

A fundamental question is: For which finite groups does a Borsuk-Ulam type result hold? T. Bartsch [1] answered this question as follows.

**Theorem 0.4 ([1]).** *Suppose that  $G$  is a finite group. The “weak” Borsuk-Ulam theorem for linear  $G$ -spheres holds if and only if  $G$  is a  $p$ -group. Namely  $G$  has the following property (W) if and only if  $G$  is a  $p$ -group.*

(W) : *There exists a monotonely increasing function  $\varphi_G$  diverging to infinity such that for any linear  $G$ -spheres  $SV, SW$  ( $V^G = W^G = 0$ ) with a  $G$ -map  $f : SV \rightarrow SW$ , the inequality  $\varphi_G(\dim SV) \leq \dim SW$  holds.*

By Theorem 0.3, one can take the identity map as  $\varphi_G$  for  $G = \mathbb{Z}_p^k$ , which is the best possible function satisfying (W); such a function  $\varphi_G$  is called the Borsuk-Ulam function. In general, it is difficult to determine the Borsuk-Ulam function, but a few results are known; see [1] for relevant results.

For other topics on the Borsuk-Ulam theorem, see also Steinlein [25, 26], Matoušek [19].

## 1. THE ISOVARIANT BORSUK-ULAM THEOREM

Let  $G$  be a compact Lie group. Let  $X, Y$  be  $G$ -spaces, and  $V, W$   $G$ -representations.

**Definition 1.** A continuous map  $f : X \rightarrow Y$  is called  *$G$ -isovariant* (or isovariant) if  $f$  is  $G$ -equivariant and preserves the isotropy groups, i.e.,  $G_{f(x)} = G_x$  for any  $x \in X$ .

A. G. Wasserman [27] first studied an isovariant version of the Borsuk-Ulam theorem. Using the Borsuk-Ulam theorem for free  $\mathbb{Z}_p$ -actions, one can obtain the following result.

**Theorem 1.1** (Isovariant Borsuk-Ulam theorem). *Let  $G$  be a solvable compact Lie group. If there is an isovariant map  $f : SV \rightarrow SW$ , then*

$$\dim SV - \dim SV^G \leq \dim SW - \dim SW^G.$$

We note that this result still holds for semilinear actions on spheres.

**Definition 2.** The smooth  $G$ -action on a (homotopy) sphere  $M$  is called *semilinear* if for any  $H \leq G$ ,  $M^H$  is a (homotopy) sphere or  $\emptyset$ . We call such a  $G$ -manifold  $M$  a *semilinear  $G$ -sphere*.

**Theorem 1.2** ([21]). *Let  $G$  be a solvable compact Lie group and let  $M, N$  be semilinear  $G$ -spheres. If there is an isovariant map  $f : M \rightarrow N$ , then*

$$\dim M - \dim M^G \leq \dim N - \dim N^G.$$

It is still open whether Theorem 1.1 holds for an arbitrary compact Lie group, but Theorem 1.2 does not hold if  $G$  is nonsolvable.

**Theorem 1.3** ([21]). *Let  $G$  be a nonsolvable compact Lie group. There are fixed-point-free semilinear  $G$ -spheres  $M_n$ ,  $n \geq 1$ , with  $\lim_{n \rightarrow \infty} \dim M_n = \infty$  and a representation sphere  $SW$  such that there is an isovariant maps  $f_n : M_n \rightarrow SW$  for every  $n$ .*

Consequently, we obtain a Bartsch type result for semilinear actions; namely, the isovariant Borsuk-Ulam theorem for semilinear  $G$ -spheres holds if and only if  $G$  is solvable.

Remark. Bartsch's result, Theorem 0.4, still holds for semilinear  $G$ -spheres.

## 2. THE CONVERSE OF THE ISOVARIANT BORSUK-ULAM THEOREM

Let  $G$  be a solvable compact Lie group. A subgroup means a closed subgroup. As mentioned in the previous section, the isovariant Borsuk-Ulam theorem holds for  $G$ . We would like to consider the converse.

If there is an isovariant map  $f : SV \rightarrow SW$ , then  $f^H : SV^H \rightarrow SW^H$ ,  $H \triangleleft K \leq G$ , is  $K/H$ -isovariant. Since  $K/H$  is also solvable, we can apply the isovariant Borsuk-Ulam theorem to  $f^H$ . Hence we have

**Proposition 2.1.** *Let  $G$  be a solvable compact Lie group. If there is an isovariant map  $f : SV \rightarrow SW$ , then*

$$(C_{V,W}) : \dim SV^H - \dim SV^K \leq \dim SW^H - \dim SW^K \text{ for any pair of closed subgroups } H \triangleleft K.$$

We formulate the converse problem of the isovariant Borsuk-Ulam theorem as follows.

Question. Let  $G$  be a solvable compact Lie group. Suppose that a pair  $(V, W)$  of  $G$ -representations satisfies

- (a)  $\text{Iso } SV \subset \text{Iso } SW$ ,
- (b)  $(C_{V,W})$ .

Is there a  $G$ -isovariant map  $f : SV \rightarrow SW$  (or  $f : V \rightarrow W$ )?

Remark. (1): The condition (a) is obviously necessary. However if  $G$  is abelian, then one can see that the condition (b) implies (a); so the condition (a) can be omitted.

(2) Note that there exists an isovariant map  $f : SV \rightarrow SW$  if and only if there exists an isovariant map  $f : V \rightarrow W$ .

Definition 3. If this question is affirmative for  $G$ , we say that  $G$  has the *complete Borsuk-Ulam property* (or  $G$  is a *complete Borsuk-Ulam group*).

Unfortunately the complete answer is not known yet, but there are some partial results. In this note, we would like to give the outline of proof of the following theorem; the full detail will appear in [23].

**Theorem 2.2.** *The following groups have the complete Borsuk-Ulam property.*

- (1) *finite abelian  $p$ -group,*

- (2)  $\mathbb{Z}_{p^n q^m}$ ,
- (3)  $\mathbb{Z}_{pqr}$ ,

where  $p, q, r$  are prime numbers.

Let  $T_k, k \in \mathbb{Z}$ , be the irreducible  $S^1$ -representation given by  $t \cdot z := t^k z, t \in S^1 (\subset \mathbb{C}), z \in T_k (= \mathbb{C})$ . Restricting  $T_k$  to  $\mathbb{Z}_n \subset S^1$ , we have a  $\mathbb{Z}_n$ -representation, denoted by the same symbol  $T_k$ . For simplicity we here treat only complex representations.

**2.1. Proof of Theorem 2.2 (1) (outline).** Let us consider the case  $G = \mathbb{Z}_p$ . Then  $T_k, 0 \leq k \leq p-1$ , are all irreducible  $\mathbb{Z}_p$ -representations. We may suppose  $V^G = W^G = 0$ . In fact, one can see that there exists an isovariant map  $f : V \rightarrow W$  if and only if there exists an isovariant map  $f : V_G \rightarrow W_G$ , where  $V_G$  denotes the orthogonal complement of  $V^G$  in  $V$ . Therefore we may set  $V = T_{k_1} \oplus \cdots \oplus T_{k_n}$ ,  $W = T_{l_1} \oplus \cdots \oplus T_{l_m}$ , where  $k_i, l_i$  are prime to  $|G|$ .

An isovariant map  $f : T_k \rightarrow T_l$  is defined by  $f_{k,l}(z) = \xi^{k'l} z$ , where  $k'k \equiv 1 \pmod{|G|}$ . Since condition  $(C_{V,W})$  implies  $n \leq m$ , one can construct an isovariant map  $f : V \rightarrow W$  using  $f_{k,l}$ .

For a general abelian  $p$ -group, a similar argument shows Theorem 2.2 (1).

## 2.2. Proof of Theorem 2.2 (2) (outline).

**Definition 4.** A pair of representations  $(V, W)$  is called *primitive* if  $V$  and  $W$  cannot be decomposed into  $V = V_1 \oplus V_2, W = W_1 \oplus W_2$  such that  $(V_i, W_i) \neq (0, 0)$  satisfies  $(C_{V_i, W_i}), i = 1, 2$ .

If there are isovariant maps  $f_i : V_i \rightarrow W_i$ , then  $f_1 \oplus f_2 : V_1 \oplus W_1 \rightarrow V_2 \oplus W_2$  is also isovariant; therefore it suffices to construct an isovariant map between each primitive pair.

Let us consider  $G = \mathbb{Z}_{pq}$  for example. Clearly  $(0, T_s)$  and  $(T_k, T_l), (k, |G|) = (l, |G|)$ , are primitive, and one can easily construct isovariant maps between these representations as in the proof of (1). In addition, a new primitive pair  $(T_1, T_p \oplus T_q)$  appears for  $G = \mathbb{Z}_{pq}$ . In this case an isovariant map exists; for example, the map defined by  $f : z \mapsto (z^p, z^q)$  is isovariant. These pairs mentioned above are essentially all primitive pairs for  $\mathbb{Z}_{pq}$ . Therefore  $\mathbb{Z}_{pq}$  has the complete isovariant Borsuk-Ulam property.

For  $\mathbb{Z}_{p^n q^m}$ , other primitive pairs appear, but one can directly define isovariant maps in a similar way. For example,  $(T_p \oplus T_q, T_{p^2} \oplus T_{pq} \oplus T_{q^2})$  is primitive for  $\mathbb{Z}_{p^n q^m}, n, m \geq 2$ . In this case there is an isovariant map; for example  $f : (z_1, z_2) \mapsto (z_1^p, z_1^q + z_2^p, z_2^q)$  is isovariant. Thus one can see that  $\mathbb{Z}_{p^n q^m}$  has the complete isovariant Borsuk-Ulam property.

**2.3. Proof of Theorem 2.2 (3) (outline).** Next consider the case of  $\mathbb{Z}_{pqr}$ . The proof is more complicated.

For all primitive pairs except one type, one can directly define isovariant maps as before. The exception is the following type of primitive pair:

$$(T_p \oplus T_q \oplus T_r, T_1 \oplus T_{pq} \oplus T_{qr} \oplus T_{pr}).$$

If there is an isovariant map for this pair, it turns out that  $\mathbb{Z}_{pqr}$  has the complete isovariant Borsuk-Ulam property. It seems, however, difficult to directly define an isovariant map; so we would like to use equivariant obstruction theory.

The question is the following:

Question. Is there a  $\mathbb{Z}_{pqr}$ -isovariant map

$$f : T_p \oplus T_q \oplus T_r \rightarrow T_1 \oplus T_{pq} \oplus T_{qr} \oplus T_{pr}?$$

The answer is yes. Actually we shall show the existence of an  $S^1$ -isovariant map

$$f : S(T_p \oplus T_q \oplus T_r) \rightarrow S(T_1 \oplus T_{pq} \oplus T_{qr} \oplus T_{pr}).$$

Therefore we see that  $\mathbb{Z}_{pqr}$  has the complete Borsuk-Ulam property.

### 3. THE EXISTENCE OF AN ISOVARIANT MAP

We shall discuss the above question in a more general setting. Let  $G = S^1$  and let  $M$  be a rational homology sphere with *pseudofree*  $S^1$ -action.

**Definition 5 (Montgomery-Yang).** An  $S^1$ -action on  $M$  is *pseudofree* if

- (1) the action is effective, and
- (2) the singular set  $M^{>1} := \bigcup_{1 \neq H \leq S^1} M^H$  consists of finitely many exceptional orbits.

Here an orbit  $G(x)$  is called exceptional if  $G(x) \cong S^1/C$ , ( $1 \neq C < S^1$ ).

**Example 3.1.** Let  $V = T_p \oplus T_q \oplus T_r$ . Then the  $S^1$ -action on  $SV$  is pseudofree. Indeed it is clearly effective, and

$$\begin{aligned} SV^{>1} &= ST_p \amalg ST_q \amalg ST_r \\ &\cong S^1/\mathbb{Z}_p \amalg S^1/\mathbb{Z}_q \amalg S^1/\mathbb{Z}_r \end{aligned}$$

**Remark.** There are many “exotic” pseudofree  $S^1$ -actions on high-dimensional homotopy spheres. (Montgomery-Yang [20], Petrie [24].)

Let  $SW$  be any  $S^1$ -representation sphere. We consider an  $S^1$ -isovariant map  $f : M \rightarrow SW$ .

The result is the following:

**Theorem 3.2.** *With the above notation, there is an  $S^1$ -isovariant map  $f : M \rightarrow SW$  if and only if*

- (I):  $\text{Iso } M \subset \text{Iso } SW$ ,
- (PF1):  $\dim M - 1 \leq \dim SW - \dim SW^H$  when  $1 \neq H \leq C$  for some  $C \in \text{Iso } M$ ,
- (PF2):  $\dim M + 1 \leq \dim SW - \dim SW^H$  when  $1 \neq H \not\leq C$  for every  $C \in \text{Iso } M$ .

**3.1. Examples.** We give some examples. Let  $p, q, r$  be pairwise coprime integers greater than 1.

**Example 3.3.** There is an  $S^1$ -isovariant map

$$f : S(T_p \oplus T_q \oplus T_r) \rightarrow S(T_1 \oplus T_{pq} \oplus T_{qr} \oplus T_{rp}).$$

*Proof.* (PF1) and (PF2) are fulfilled. One can see  $\text{Iso } M = \{1, \mathbb{Z}_p, \mathbb{Z}_q, \mathbb{Z}_r\}$  and  $\text{Iso } SW = \{1, \mathbb{Z}_p, \mathbb{Z}_q, \mathbb{Z}_r, \mathbb{Z}_{pq}, \mathbb{Z}_{qr}, \mathbb{Z}_{rp}\}$ ; hence  $\text{Iso } M \subset \text{Iso } SW$ .  $\square$

**Example 3.4.** There is not an  $S^1$ -isovariant map

$$f : S(T_p \oplus T_q \oplus T_r) \rightarrow S(T_{pq} \oplus T_{qr} \oplus T_{rp}).$$

*Proof.* (PF1) is not fulfilled.  $\square$

**Remark.** There is an  $S^1$ -equivariant map

$$f : S(T_p \oplus T_q \oplus T_r) \rightarrow S(T_{pq} \oplus T_{qr} \oplus T_{rp}).$$

By Example 3.3, we see that  $\mathbb{Z}_{pqr}$  has the complete Borsuk-Ulam property.

**3.2. Proof of Theorem 3.2 (outline).** We shall give the outline of Theorem 3.2. The full detail will appear in [22]. Set  $Y := SW \setminus SW^{>1}$ . Note that  $S^1$  acts freely on  $Y$ . Let  $N_i$  be an  $S^1$ -tubular neighborhood of each exceptional orbit in  $M$ . By the slice theorem,  $N_i$  is identified with  $S^1 \times_{C_i} DU_i$  ( $1 \leq i \leq r$ ), where  $C_i$  is the isotropy group of the exceptional orbit and  $U_i$  is the slice  $C_i$ -representation. Set  $X := M \setminus (\coprod_i \text{int } N_i)$ . Note that  $S^1$  acts freely on  $X$ .

The “only if” part is proved by the (isovariant) Borsuk-Ulam theorem. Indeed we can show (PF1) as follows. Take a point  $x \in M$  with  $G_x = C$  and a  $C$ -invariant closed neighborhood  $B$  of  $x$   $C$ -diffeomorphic to some unit disk  $DV$ . Hence we obtain an  $H$ -isovariant map  $f : SV \rightarrow SW$ . Applying the isovariant Borsuk-Ulam theorem to  $f$ , we have (PF1).

We next show (PF2). Since  $f$  is isovariant,  $f$  maps  $M$  into  $SW \setminus SW^H$ , and since  $SW \setminus SW^H$  is  $S^1$ -homotopy equivalent to  $SW_H$ , we obtain an  $S^1$ -map  $g : M \rightarrow SW_H$ . By Theorem 0.3, we obtain (PF2).

To show the converse, we begin with the following lemma.

**Lemma 3.5.** *There is an  $S^1$ -isovariant map  $\tilde{f}_i : N_i \rightarrow SW$ .*



*Proof.* Let  $N_i = N = S^1 \times_C DV$ , where  $C$  is the isotropy group of the exceptional orbit and  $V$  is the slice representation. Similarly take a closed  $S^1$ -tubular neighborhood  $N'$  of an exceptional orbit with isotropy group  $C$ , and set  $N' = S^1 \times_C DV'$ . By (PF1), we see that  $\dim SV + 1 \leq \dim SV' - \dim SV'^{>1}$ . Since  $C$  acts freely on  $SV$ , by obstruction theory, there is an  $C$ -map  $g : SV \rightarrow SV' \setminus SV'^{>1} \subset SW$ , and so we obtain a  $C$ -isovariant map  $g : SV \rightarrow SW$ . Taking a cone, we have a  $C$ -isovariant map  $\tilde{g} : DV \rightarrow DV'$ ; hence there is an  $S^1$ -isovariant map  $\tilde{f} = S^1 \times_C \tilde{g} : N \rightarrow N' \subset SW$ .  $\square$

Set  $f_i := \tilde{f}_i|_{\partial N_i} : \partial N_i \rightarrow Y$ , and  $f := \coprod_i f_i : \partial X \rightarrow Y$ . If  $f$  is extended to an  $S^1$ -map  $F : X \rightarrow Y$ , by gluing the maps, we obtain an  $S^1$ -isovariant map

$$F \cup \left( \coprod_i \tilde{f}_i \right) : M \rightarrow SW.$$

Thus it suffices to investigate the following question:

(Q) Is there an extension  $F : X \rightarrow Y$  of  $f : \partial X \rightarrow Y$ ?

Since  $S^1$  acts freely on  $X$  and  $Y$ , the obstruction to an extension lies in

$$H^*(X/S^1, \partial X/S^1; \pi_{*-1}(Y)).$$

Set  $k = \dim SW - \dim SW^{>1}$ . A standard computation shows

**Lemma 3.6.** (1)  $Y$  is  $(k-2)$ -connected and  $(k-1)$ -simple.  
 (2)  $\pi_{k-1}(Y) \cong H_{k-1}(Y) \cong \oplus_{H \in \mathcal{A}} \mathbb{Z}$ , where  $\mathcal{A} := \{H \in \text{Iso } SW \mid \dim SW^H = \dim SW^{>1}\}$ , and generators are represented by  $SW_H$ ,  $H \in \mathcal{A}$ .

Note that  $\dim M - 1 \leq k$  by (PF1) and (PF2). We divide into two cases.

Case I:  $\dim M - 1 < k$  (i.e.,  $\dim X/S^1 < k$ ). In this case, we see that

$$H^*(X/S^1, \partial X/S^1; \pi_{*-1}(Y)) = 0$$

by dimensional reason. Hence the obstruction vanishes and there exists an extension  $F : X \rightarrow Y$ .

Case II:  $\dim M - 1 = k$  (i.e.,  $\dim X/S^1 = k$ ). The obstruction  $\gamma_{S^1}(f)$  to an extension lies in

$$\begin{aligned} H^k(X/S^1, \partial X/S^1; \pi_{k-1}(Y)) &\cong \oplus_{H \in \mathcal{A}} \mathbb{Z}. \\ (H^l(X/S^1, \partial X/S^1; \pi_{k-1}(Y)) &= 0, l \neq k) \end{aligned}$$

To detect the obstruction, we introduce the multidegree.

**3.3. Multidegree.** Let  $N = S^1 \times_C DU \subset M$ ,  $1 \neq C \in \text{Iso}(M)$ ,  $\dim M - 1 = \dim U = k$ , and  $f : \partial N \rightarrow Y$ :  $S^1$ -map,  $\tilde{f} = f|_{SU} : SU \rightarrow Y$ :  $C$ -map.

**Definition 6.**  $\text{Deg } f := \tilde{f}_*([SU]) \in \oplus_{H \in \mathcal{A}} \mathbb{Z}$ ,  $\tilde{f}_* : H_{k-1}(SU) \rightarrow H_{k-1}(Y)$ , under identifying  $H_{k-1}(Y)$  with  $\oplus_{H \in \mathcal{A}} \mathbb{Z}$ .

Then the obstruction  $\gamma_{S^1}(f)$  is described by the multidegrees.

**Proposition 3.7.** *Let  $F_0 : X \rightarrow Y$  be a fixed  $S^1$ -map (not necessary extending  $f$ ). Set  $f_{0,i} = F_0|_{\partial N_i}$ . Then*

$$\gamma_{S^1}(f) = \sum_{i=1}^r (\text{Deg } f_i - \text{Deg } f_{0,i})/|C_i|.$$

Remark. (1) There always exists  $F_0$ .

(2)  $\text{Deg } f_i - \text{Deg } f_{0,i} \in \oplus_{H \in \mathcal{A}} |C_i| \mathbb{Z}$  by the equivariant Hopf type result. (See the next section.)

Using this proposition and equivariant Hopf type results in the next section, we can choose  $S^1$ -isovariant maps  $\tilde{f}_i : N_i \rightarrow SW$  so that  $\gamma_{S^1}(f) = 0$ .

#### 4. EQUIVARIANT HOPF TYPE RESULTS

Let  $N = S^1 \times_C DU (\subset M)$ ,  $\dim M - 1 = k$  as before. Then the following Hopf type theorem holds.

**Theorem 4.1** ([22]). (1)  $\text{Deg} : [\partial N, Y]_{S^1} \rightarrow \oplus_{H \in \mathcal{A}} \mathbb{Z}$  is injective.

(2) The image of  $\text{Deg} - \text{Deg } f_0$  coincides with  $\oplus_{H \in \mathcal{A}} |C| \mathbb{Z}$ , where  $f_0$  is any fixed  $S^1$ -map.

The next result shows the extendability of  $f : \partial N = S^1 \times_C SU \rightarrow Y$ . Set  $\text{Deg } f = (d_H(f))_{H \in \mathcal{A}} \in \oplus_{H \in \mathcal{A}} \mathbb{Z}$ .

**Theorem 4.2** ([22]). (1)  $f : \partial N \rightarrow Y$  is extendable to an  $S^1$ -isovariant map  $\tilde{f} : N \rightarrow SW$  if and only if  $d_H(f) = 0$  for any  $H \in \mathcal{A}$  with  $H \not\leq C$ .

(2) For any extendable  $f$  and for any  $(a_H) \in \oplus_{H \in \mathcal{A}} |C| \mathbb{Z}$  satisfying  $a_H = 0$  for  $H \in \mathcal{A}$  with  $H \not\leq C$ , there exists an  $S^1$ -map  $f' : \partial N \rightarrow Y$  such that  $f'$  is extendable to an  $S^1$ -isovariant map  $\tilde{f}' : N \rightarrow SW$  and  $\text{Deg } f' = \text{Deg } f + (a_H)$ .

**4.1. Example of multidegrees.** Finally we give some examples. Take  $S^1$ -representations  $V = T_q \oplus T_q \oplus T_r$  and  $W = T_1 \oplus T_{pq} \oplus T_{qr} \oplus T_{rp}$ , where  $p, q, r$  are distinct primes. Let us consider linear spheres  $SV, SW$ . Let  $N_i$  be a closed  $S^1$ -tubular neighborhood of the exceptional orbit  $ST_i \cong S^1/\mathbb{Z}_i$  in  $SV$ , where  $i = p, q, r$ , and then  $N_i$  is identified with  $ST_i \times D(T_j \oplus T_k) \cong S^1 \times_{\mathbb{Z}_i} D(T_j \oplus T_k)$ . Thus we may set

$$\begin{aligned} N_p &= \{(z_1, z_2, z_3) \in V \mid |z_1| = 1, \|(z_2, z_3)\| \leq 1\}, \\ N_q &= \{(z_1, z_2, z_3) \in V \mid |z_2| = 1, \|(z_1, z_3)\| \leq 1\}, \\ N_r &= \{(z_1, z_2, z_3) \in V \mid |z_3| = 1, \|(z_1, z_2)\| \leq 1\}. \end{aligned}$$

We have  $\mathcal{A} = \{\mathbb{Z}_p, \mathbb{Z}_q, \mathbb{Z}_r\}$ ; hence we can set

$$\text{Deg } f = (d_{\mathbb{Z}_p}(f), d_{\mathbb{Z}_q}(f), d_{\mathbb{Z}_r}(f)) \in \mathbb{Z}^3.$$

Take positive integers  $\alpha, \beta, \gamma, \delta, \xi, \eta$  such that  $\alpha p - \beta q = 1, \gamma q - \delta r = 1, \xi r - \eta p = 1$ .

**Example 4.3.** We define  $g_i : V \rightarrow W$  as follows:

$$\begin{aligned} g_p(z_1, z_2, z_3) &= (z_3^\xi \bar{z}_1^\eta, z_1^q, z_2^r, z_1^r), \\ g_q(z_1, z_2, z_3) &= (z_1^\alpha \bar{z}_2^\beta, z_2^p, z_2^r, z_3^p), \\ g_r(z_1, z_2, z_3) &= (z_2^\gamma \bar{z}_3^\delta, z_1^q, z_3^q, z_3^p). \end{aligned}$$

Restricting  $g_i$  to  $N_i$ , we obtain an  $S^1$ -map  $h_i := g_i|_{N_i} : N_i \rightarrow W$ . Since  $h_i^{-1}(0) = \emptyset$ , we have an  $S^1$ -map  $\tilde{f}_i := h_i / \|h_i\| : N_i \rightarrow SW$ . Moreover  $\tilde{f}_i$  is an  $S^1$ -isovariant. Set  $f_i = \tilde{f}_i|_{\partial N_i}$ . Then  $d_{\mathbb{Z}_p}(f_p)$  is equal to the degree of the map  $f'_p : S(T_q \oplus T_r) \rightarrow S(T_1 \oplus T_{qr})$ ;

$$(z_2, z_3) \mapsto (z_3^\xi \bar{z}_1^\eta, z_2^r) / \|(z_3^\xi \bar{z}_1^\eta, z_2^r)\|,$$

where  $z_1$  is any fixed nonzero number. Hence we have  $d_{\mathbb{Z}_p}(f_p) = \xi r = 1 + \eta p$ . Similarly one can see that  $d_{\mathbb{Z}_q}(f_p) = d_{\mathbb{Z}_r}(f_p) = 0$ . Thus we obtain

$$\text{Deg } f_p = (1 + \eta p, 0, 0).$$

In a similar way, we have

$$\text{Deg } f_q = (0, 1 + \beta q, 0),$$

$$\text{Deg } f_r = (0, 0, 1 + \delta r).$$

**Example 4.4.** Next we consider the following  $S^1$ -maps  $g'_i : V \rightarrow W$ :

$$\begin{aligned} g'_p(z_1, z_2, z_3) &= (z_2^\gamma \bar{z}_3^\delta, z_1^q, z_2^r + z_3^q, z_1^r), \\ g'_q(z_1, z_2, z_3) &= (z_3^\xi \bar{z}_1^\eta, z_2^p, z_2^r, z_3^p + z_1^r), \\ g'_r(z_1, z_2, z_3) &= (z_1^\alpha \bar{z}_2^\beta, z_1^q + z_2^p, z_3^q, z_3^p). \end{aligned}$$

Then by restriction and normalization, we obtain  $S^1$ -isovariant maps  $\tilde{f}'_i : N_i \rightarrow SW$  and  $f'_i : \partial N_i \rightarrow SW$ , respectively. In this case, one can see that

$$\text{Deg } f'_p = (1, 0, 0),$$

$$\text{Deg } f'_q = (0, 1, 0),$$

$$\text{Deg } f'_r = (0, 0, 1).$$

In fact, for example,  $d_{\mathbb{Z}_p}(f'_p) = 1$  is showed as follows. Consider the map  $\psi : T_q \oplus T_r \setminus 0 \rightarrow T_1 \oplus T_{qr} \setminus 0$ ;  $(z_2, z_3) \mapsto (z_2^\gamma \bar{z}_3^\delta, z_1^q, z_2^r + z_3^q)$ . One can see that  $\psi^{-1}(1, 0) = \{((-1)^\delta, (-1)^\gamma)\}$  and the Jacobian is  $\gamma q + r \delta > 0$ ; hence  $(1, 0) \in T_1 \oplus T_{qr} \setminus 0$  is a regular value, and so  $\deg \psi = 1$ .

$Y = SW \setminus SW^{>1}$ . Let  $[\partial N_i, Y]_{S^1}^{\text{ext}}$ ,  $i = p, q, r$ , denote the set of  $S^1$ -homotopy classes of  $S^1$ -maps extended to  $S^1$ -isovariant maps from  $N_i$  to  $SW$ . By Theorems 4.1 and 4.2, we see the following.

**Proposition 4.5.** *The map  $D_i : [\partial N_i, Y]_{S^1}^{\text{ext}} \rightarrow \mathbb{Z}, [f] \mapsto (d_{\mathbb{Z}_i}(f) - 1)/i$ , is a bijection for  $i = p, q, r$ .*

For the above maps, we have  $D_p(f_p) = \eta$  and  $D_p(f'_p) = 0$ .

**Example 4.6.** We next define another  $S^1$ -map  $f_{0,i}$  as follows. Define an  $S^1$ -map  $g_0 : V \rightarrow W$  by setting

$$g_0(z_1, z_2, z_3) = (z_1^\alpha \bar{z}_2^\beta + z_2^\gamma \bar{z}_3^\delta + z_3^\xi \bar{z}_1^\eta, z_1^q, z_2^r, z_3^p).$$

Since  $g_0$  maps the free part of  $V$  into the free part of  $W$ , by restriction and normalization, we have an  $S^1$ -map  $f_{0,i} : \partial N_i \rightarrow Y$ . In this case we have

$$\text{Deg } f_{0,p} = (1 + \eta p, -\beta p, 0),$$

$$\text{Deg } f_{0,q} = (0, 1 + \beta q, -\delta q),$$

$$\text{Deg } f_{0,r} = (-\eta r, 0, 1 + \delta r).$$

By Theorem 4.2, each  $f_{0,i}$  cannot be isovariantly extended on  $N_i$ .

However, restricting  $g_0$  on  $X = SV \setminus \text{int}(N_p \cup N_q \cup N_r)$ , one can regard  $g_0$  as an  $S^1$ -map from  $X$  to  $Y$ . Consequently it turns out that  $\coprod_i f_{0,i}$  can be extended on  $X$ . Consider the  $S^1$ -maps  $f = \coprod_i f_i : \partial N_i \rightarrow Y$  and  $f' = \coprod_i f'_i : \partial N_i \rightarrow Y$  in Examples 2 and 3. By Proposition 3.7, the obstruction  $\gamma_{S^1}(f)$  to an extension on  $X$  is described as  $\gamma_{S^1}(f) = (\eta, \beta, \delta)$  and  $\gamma_{S^1}(f') = (0, 0, 0)$ ; hence  $f$  cannot be extended on  $X$ , but  $f'$  can.

We also note the following.

**Proposition 4.7.** *An  $S^1$ -isovariant map  $\tilde{h} = \coprod_i \tilde{h}_p : \coprod_i N_i \rightarrow SW$  is isovariantly extended on  $SV$  if and only if  $\text{Deg } h_p = (1, 0, 0)$ ,  $\text{Deg } h_q = (0, 1, 0)$  and  $\text{Deg } h_r = (0, 0, 1)$ , where  $h_i = \tilde{h}_i|_{\partial N_i}$ .*

*Proof.* One can set  $\text{Deg } h_p = (1 + np, 0, 0)$ ,  $\text{Deg } h_q = (0, 1 + mq, 0)$  and  $\text{Deg } h_r = (0, 0, 1 + lr)$ . Then one can see  $\gamma_{S^1}(h) = (n, m, l)$ , and so  $\gamma_{S^1}(h) = 0$  if and only if  $(n, m, l) = (0, 0, 0)$ .  $\square$

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